ACCOUNT NO. 23=0975... IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Osamu FUNAHASHI et al.

Serial No. NEW

Filed March 29, 2000

SPEAKER APPARATUS AND SOUND REPRODUCTION APPARATUS

Attn: APPLICATION BRANCH

Attorney Docket No. 2000 0402A

1-542 U.S. PTO 09/537863

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents, Washington, DC 20231 Sir:

Attached hereto is a check in the amount of \$690.00 to cover Patent Office fees relating to filing the following attached papers:

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Osamu FUNAHASHI et al.

Nils E. Pedersen

Registration No. 33,145

Attorney for Applicants

FOR

Charles R. Watts

Registration No. 33,142

Attorney for Applicants

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CRW/asd

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Telephone (202) 721-8200

March 29, 2000

[Check No. 3741]

2000_0402A

Approved for use through 09/30/00. OMB 0651-0032 d Trademark Office: U.S. DEPARTMENT OF COMMERCI



UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No. 2000 0402A Total Pages:

First Named Inventor or Application Identifier

Osamu FUNAHASHI et al.

Express Mail Label No.:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Assistant Commissioner for Patents

ADDRESS TO: **Box Patent Application**

Washington, D.C. 20231

1. [X] Fee Transmittal Form

(Submit an original, and a duplicate for fee processing)

[Total Pages -24]

2. [X] Specification

(preferred arrangement set forth below)

- Descriptive title of the Invention

- **Cross References to Related Applications**
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- **Detailed Description**
- Claim(s)
- Abstract of the Disclosure

∰ [X] Drawing(s) /35 USC 113)

[Total sheets -21]

4』[X] Oath or Declaration

[Total Pages - 5]

a.1. [] Newly executed (original or copy)

a.2. [X] Unexecuted b. [] Copy from a prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed)

[Note Box 5 below]

i. [] DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

턄 [] Incorporation By Reference

(usable if Box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

Microfiche Computer Program (Appendix)

[]Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. [] Computer Readable Copy

- b. [] Paper Copy (identical to computer copy)
- c. [] Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- Assignment Papers (cover sheet & document(s))
- 9. 11 37 CFR 3.73(b) Statement [] Power of Attorney (when there is an assignee)
- 10. [] English Translation Document (if applicable)
- 11. [] Information Disclosure Statement (IDS)/PTO-1449
- [] Copies of IDS Citations **Preliminary Amendment** 12. []
- 13. [X] Return Receipt Postcard (MPEP 503)

(Should be specifically itemized)

- 14. Small Entity Statement(s)
 - Statement filed in prior application, Status still proper and desired n
- 15. Certified Copy of Priority Document(s)
 - (if foreign priority is claimed)
- 16. []

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

[] Continuation

[] Divisional

[] Continuation-in-part (CIP) of prior Application No.

18. CORRESPONDENCE ADDRESS

WENDEROTH, LIND & PONACK, L.L.P.

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March 29, 2000

THE COMMISSIONER IS AUTHORIZED E ANY DEFICIENCY IN THE THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975.

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Attn: APPLICATION BRANCH

Serial No. NEW

Docket No. 2000 0402A

Filed March 29, 2000

SPEAKER APPARATUS AND SOUND REPRODUCTION APPARATUS

COVER LETTER ACCOMPANYING APPLICATION FILED WITHOUT EXECUTED DECLARATION UNDER 37 C.F.R. 1.53(b) AND IN A LANGUAGE OTHER THAN ENGLISH UNDER 37 C.F.R. 1.52(d)

Assistant Commissioner for Patents, Washington, DC 20231

Sir:

Enclosed is a new patent application entitled "SPEAKER APPPARATUS AND SOUND REPRODUCTION APPARATUS". This application is submitted in the Japanese language in accordance with 37 C.F.R. 1.52(d). In due course a verified English translation will be filed.

Furthermore, the present application is submitted under the provisions of 37 C.F.R. 1.53(b), and the application as filed does not include an executed declaration. However, accompanying the application is a non-executed declaration listing the inventor information.

The application as filed further does not include a Power of Attorney, and accordingly it is requested that communication initially be directed to the following firm, until an executed Power of Attorney and Declaration form are filed:

> WENDEROTH, LIND & PONACK, L.L.P. 2033 K Street, N.W., Ste. 800 Washington, D.C. 20006 Telephone (202) 721-8200

The required filing fee is enclosed.

Respectfully submitted,

Osamu FUNAHASHI et al.

By

Nils E. Pedersen Registration No. 33,145 Attorney for Applicants

FOR

Charles R. Watts Registration No. 33,142 Attorney for Applicants

CRW/asd Washington, D.C. Telephone (202) 721-8200 Facsimile (202) 721-8250 March 28, 2000